

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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BRIAN BARNES, individually and on behalf
of all others similarly situated,

Plaintiff,

Case No. 16 - CV – 04577 (LLS)

-v.-

AEGIS CAPITAL CORP., a New York
Corporation,

Defendant.

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DEFENDANT AEGIS CAPITAL CORP.'S CORPORATE DISCLOSURE
STATEMENT PURSUANT TO FED. R. CIV. P. 7.1

Defendant AEGIS CAPITAL CORP. (“Aegis”), by their attorneys, Kaufman Dolowich & Voluck, LLP, as and for its Fed. R. Civ. P. 7.1 Disclosure, hereby state, as follows:

1. Aegis Capital Corp. is a corporation organized and existing under the laws of the State of New York, and is 100% owned by Aegis Capital Holding Corp.
2. No publicly traded entity owns 10% or more of Aegis’s outstanding stock.

Dated: New York, New York
November 15, 2016

KAUFMAN DOLOWICH & VOLUCK, LLP
Attorneys for Aegis Capital Corp.

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(Motion for admission pro hac vice to be
filed)